The disclosure describes a technique for uniform heating of relatively large blocks of hydrocarbonaceous formations in situ using radio frequency (RF) electrical energy that is substantially confined to the volume to be heated and effects dielectric heating of the formations. An important aspect of the disclosure relates to the fact that certain hydrocarbonaceous earth formations, for example raw unheated oil shale, exhibit dielectric absorption characteristics in the radio frequency range. In accordance with the system of the invention, a plurality of conductors are inserted in the formations and bound a particular volume of the formations. The phrase "bouncing a particular volume" is intended to mean that the volume is enclosed on at least two sides thereof. Electrical excitation is provided for establishing alternating electric fields in the volume. The frequency of the excitation is selected as a function of the dimensions of the volume so as to establish a substantially non-radiating electric field which is substantially confined in the volume. In this manner, volumetric dielectric heating of the formations will occur to effect approximately uniform controlled heating of the volume.
Line Conditions: $L_1 = 20$ Units, $\lambda_x = 10$ Units, $\Delta_x = 30$ Units

Normalized Total Electric Field, $E_T(x) / E_T(o)$

Normalized Heating Potential, $U(x) / U(o)$

Distance From Surface of Shale Seam (Arbitrary Units)

Distribution of the Total Electric Field and Heating Potential Along a Sample Tri-Plate Line In Situ RF Retort

FIG. 8
Line Conditions: \( \lambda_x = 10 \) Unit's, \( \Delta_x = 30 \) Units.
Center Conductor Shifted \( \lambda_x / 4 \) Units toward \( x = 0 \) midway through the heating time.

\[ \text{Normalized Heating Potential, } \frac{U(x)}{U(0)} \]

\[ (9) \eta \cdot (x) \eta \]

Modified Distribution of the Heating Potential Along the Same Tri-Plate Line of Fig. 8.
\[
\Delta(f) = \frac{3 \cdot 10^8}{\pi f / \varepsilon'_1} \cdot \frac{\varepsilon'_1(f)}{\varepsilon'_2(f)}
\]

\[L_{1,\text{max}} = 0.35 \Delta\]

Extrapolated Curve Sections

Operating Frequency, in MHz

FIG. 10
FIG. II

$E_{\text{average}} = 0.01 E_{\text{max}}$

(Assumes Thermal Outflow)

$E_{\text{average}} = 0.1 E_{\text{max}}$

($S = 0.01$)

Minimum Conservative RF Processing Time, t min. (f) in Hours

Operating Frequency, f, in MHz

- 1 Year
- 8 Months
- 6 Months
- 4 Months
- 3 Months
- 2 Months
- 4 Weeks
- 3 Weeks
- 2 Weeks
- 1 Week
- 1 Day
METHOD FOR IN SITU HEAT PROCESSING OF HYDROCARBONACEOUS FORMATIONS

BACKGROUND OF THE INVENTION

This invention relates to the exploitation of hydrocarbon-bearing earth formations, and, more particularly, to a system and method for the in situ heating processing of hydrocarbon-bearing earth formations such as oil shale, tar sands, coal, heavy oil, and other bituminous or viscous petroleumiferous deposits. The present subject matter is related to subject matter set forth in the copending U.S. application Ser. No. 828,621, of Jack Bridges, Allen Tallove and Richard Snow, filed of even date herewith and assigned to the same assignee as the present application.

Large scale commercial exploitation of certain hydrocarbon-bearing resources, available in huge deposits on the North American continent, has been impeded by a number of problems, especially of extraction and environmental impact. The United States has tremendous coal resources, but deep mining techniques are hazardous and leave a large percentage of the deposits in the earth. Strip mining of coal involves environmental damage or expensive reclamation. Oil shale is also plentiful in the United States, by the cost of useful fuel recovery has been generally noncompetitive. The same is true for tar sands which occur in vast amounts in Western Canada. Also, heavy or viscous oil is left un-tapped, due to the extra cost of extraction, when a conventional oil well is produced.

Materials such as oil shale, tar sands, and coal are amenable to heat processing to produce gases and hydrocarbons. Generally, the heat develops the porosity, permeability and/or mobility necessary for recovery. Oil shale is a sedimentary rock which, upon pyrolysis or distillation, yields a condensable liquid, referred to as a shale oil, and non-condensable gaseous hydrocarbons. The condensable liquid may be refined into products which resemble petroleum products. Oil sand is a carbonaceous mixture of sand grains covered with bitumen typically present as a film around water-enveloped sand particles. Using various types of heat processing the bitumen can, with difficulty, be separated. Also, as is well known, coal gas and other useful products can be obtained from coal using heat processing.

In the destructive distillation of oil shale or other solid or semi-solid hydrocarbonaceous materials, the solid material is heated to an appropriate temperature and the emitted products are recovered. This appears a simple enough goal but, in practice, the limited efficiency of the process has prevented achievement of large scale commercial application. Regarding oil shale, for example, there is no presently acceptable economical way to extract the hydrocarbon constituents. The desired organic constituent, known as kerogen, constitutes a relatively small percentage of the bulk shale material, so very large volumes of shale need to be heated to elevated temperatures in order to yield relatively small amounts of useful end products. The handling of the large amounts of material is, in itself, a problem, as is the disposal of wastes. Also, substantial energy is needed to heat the shale, and the efficiency of the heating process and the need for relatively uniform and rapid heating have been limiting factors on success. In the case of tar sands, the volume of material to be handled, compared to the amount of recovered product, is again relatively large, since bitumen typically constitutes only about ten percent of the total, by weight. Material handling of tar sands is particularly difficult even under the best of conditions, and the problems of waste disposal are, of course, present here too.

There have been a number of prior proposals set forth for the extraction of useful fuels from oil shales and tar sands in situ but, for various reasons, none has gained commercial development. One category of such techniques utilizes partial combustion of the hydrocarbonaceous deposits, but these techniques have generally suffered one or more of the following disadvantages: lack of precise control of the combustion, environmental pollution resulting from disposing of combustion products, and general inefficiency resulting from undesired combustion of the resource.

Another category of proposed in situ extraction techniques would utilize electrical energy for the heating of the formations. For example, in the U.S. Pat. No. 2,634,961 there is described a technique where electrical heating elements are imbedded in pipes and the pipes are then inserted in an array of boreholes in oil shale. The pipes are heated to a relatively high temperature and eventually the heat conducts through the oil shale to achieve a pyrolysis thereof. Since oil shale is not a good conductor of heat, this technique is problematic in that the pipes must be heated to a considerably higher temperature than the temperature required for pyrolysis in order to avoid inordinately long processing times. However, overheating of some of the oil shale is inefficient in that it wastes input electrical energy, and may undesirably carbonize organic matter and decompose the rock matrix, thereby limiting the yield. Further electrical in situ techniques have been termed as "ohmic ground heating" or "electrothermic" processes wherein the electric conductivity of the formations is relied upon to carry an electric current as between electrodes placed in separated boreholes. An example of this type of technique, as applied to tar sands, is described in U.S. Pat. No. 3,848,671. A problem with this technique is that the conductor and bitumen are not sufficiently conductive to facilitate the establishment of efficient uniform heating currents. Variations of the electrothermic techniques are known as "electrolinking", "electrocarbonization", and "electrosasification" (see, for example, U.S. Pat. No. 2,795,279). In electrolinking or electrocarbonization, electric heating is again achieved via the inherent conductivity of the fuel bed. The electric current is applied such that a thin narrow fracture path is formed between the electrodes. Along this fracture path, pyrolyzed carbon forms a more highly conducting link between the boreholes in which the electrodes are implanted. Current is then passed through this link to cause electrical heating of the surrounding formations. In the electrocarbonization process, electrical heating through the formations is performed simultaneously with a blast of air or steam. Generally, the just described techniques are limited in that only relatively narrow filament-like heating paths are formed between the electrodes. Since the formations are usually not particularly good conductors of heat, only non-uniform heating is generally achieved. The process tends to be slow and requires temperatures near the heating link which are substantially higher than the desired pyrolyzing temperatures, with the attendant inefficiencies previously described.

Another approach to in situ processing has been termed "electrofracturing". In one variation of this
technique, described in U.S. Pat. No. 3,103,975, conduction through electrodes implanted in the formations is again utilized, the heating being intended, for example, to increase the size of fractures in a mineral bed. In another version, disclosed in U.S. Pat. No. 3,696,866, electricity is used to fracture a shale formation and a thin viscous molten fluid core is formed in the fracture. This core is then forced to flow out of the shale by injecting high pressured gas in one of the well bores in which an electrode is implanted, thereby establishing an open retorting channel.

In general, the above described techniques are limited by the relatively low thermal and electrical conductivity of the bulk formations of interest. While individual conductive paths through the formations can be established, heat does not radiate at useful rates from these paths, and efficient heating of the overall bulk is difficult to achieve.

A further proposed electrical in situ approach would employ a set of arrays of dipole antennas located in a plastic or other dielectric casing in a formation, such as a tar and formation. A VHF or UHF power source would energize the antennas and cause radiating fields to be emitted therefrom. However, at these frequencies, and considering the electrical properties of the formations, the field intensity drops rapidly as a function of distance away from the antennas. Therefore, once again, non-uniform heating would result in the need for inefficient overheating of portions of the formations in order to obtain at least minimum average heating of the bulk of the formations.

A still further proposed scheme would utilize in situ electrical induction heating of formations. Again, the inherent (although limited) conduction ability of the formations is relied upon. In particular, secondary induction heating currents are induced in the formations by forming an underground toroidal induction coil and passing electrical current through the turns of the coil. The underground toroid is formed by drilling vertical and horizontal boreholes and conductors are threaded through the boreholes to form the turns of the toroid. It has been noted, however, that as the formations are heated and water vapors are removed from it, the formations become more resistive, and greater currents are required to provide the desired heating.

The above described techniques are limited by either or both of the relatively low thermal and electrical conductivity of the bulk formations of interest. Electrical techniques utilized for injecting heat energy into the formations have suffered from limitations given rise to by the relatively low electrical conductivity of the bulk formations. In situ electrical techniques appear well capable of injecting heat energy into the formations along individual conductive paths or around individual electrodes, but this leads to non-uniform heating of the bulk formations. The relatively low thermal conductivity of the formations then comes into play as a limiting factor in attaining a relatively uniformly heated bulk volume. The inefficiencies resulting from non-uniform heating have tended to render existing techniques slow and inefficient.

It is an object of the present invention to provide in situ heat processing of hydrocarbonaceous earth formations utilizing electrical excitation means, in such a manner that substantially uniform heating of a particular bulk volume of the formations is efficiently achieved.

Further objects of the present invention are to provide a system and method for efficiently heat processing relatively large blocks of hydrocarbonaceous earth formations with a minimum of adverse environmental impact and for yielding a high net energy ratio of energy recovered to energy expended.

**SUMMARY OF THE INVENTION**

Applicants have devised a technique for uniform heating of relatively large blocks of hydrocarbonaceous formations using radio frequency (RF) electrical energy that is substantially confined to the volume to be heated and effects dielectric heating of the formations. An important aspect of applicant's invention relates to the fact that certain hydrocarbonaceous earth formations, for example raw unheated oil shale, exhibit dielectric absorption characteristics in the radio frequency range. As will be described, various practical constraints limit the range of frequencies which are suitable for the RF processing of commercially useful blocks of material in situ. The use of dielectric heating eliminates the reliance on electrical conductivity properties of the formations which characterize most prior art electrical in situ approaches. Also, unlike other proposed schemes which attempt to radiate electrical energy from antennas in an uncontrolled fashion, applicants provide field confining structures which maintain most of the input energy in the volume intended to be heated. Conduction currents, which are difficult to establish on a useful uniform basis, are kept to a minimum, and displacement currents dominate and provide the desired substantially uniform heating. Since it is not necessary for the resultant heat to propagate over substantial distances in the formations (as in the above described prior art ohmic heating schemes) the relatively poor thermal conductivity of the formations is not a particular disadvantage in applicant's technique. Indeed, in already-processed formations from which the useful products have been removed, the retained heat which is essentially "stored", can be advantageously utilized. In an embodiment of the invention, initial heating of adjacent blocks of hydrocarbonaceous formations is implemented using this retained heat.

In particular, the present invention is directed to a system and method for in situ heat processing of hydrocarbonaceous earth formations. In accordance with the system of the invention, a plurality of conductive means are inserted in the formations and bound a particular volume of the formations. As used herein, the phrase "bounding a particular volume" is intended to mean that the volume is enclosed on at least two sides thereof. As will become understood, in the most practical implementations of the invention the enclosed sides are enclosed in an electrical sense and the conductors forming a particular side can be an array of spaced conductors. Electrical excitation means are provided for establishing alternating electric fields in the volume. The frequency of the excitation means is selected as a function of the dimensions of the bound volume so as to establish a substantially non-radiating electric field which is substantially confined in said volume. In this manner, volumetric dielectric heating of the formations will occur to effect approximately uniform heating of the volume.

In the preferred embodiment of the invention, the frequency of the excitation is in the radio frequency range and has a frequency between about 1 MHz and 40 MHz. In this embodiment, the conductive means comprise opposing spaced rows of conductors disposed in opposite spaced rows of boreholes in the formations. One particularly advantageous structure in accordance
with the invention employs three spaced rows of conductors which form a triplate-type of waveguide structure. The stated excitation may be applied as a voltage, for example across different groups of the conductive means or as a dipole source, or may be applied as a current which excites at least one current loop in the volume. When a triplate-type of structure is employed, the conductors of the central row are preferably substantially shorter than the length of the conductors of the outer rows so as to reduce radiation, and resultant heat loss, at the ends of the conductors.

In accordance with a further feature of the invention, the frequency of the excitation is selected as a function of the electrical lossiness of the formations in the confined volume to be sufficiently low that the 1/e attenuation distance of the electric field in any direction in the volume is more than twice the physical dimension of the volume in that direction. In this manner, the diminution of the electric field in any direction due to transfer of energy to the formations (as is, of course, desirable to effect the needed heating) is not so severe as to cause undue non-uniformity of heating in the volume and wasteful overheating of portions thereof. As will be described, a further technique is employed for obtaining relatively uniform heating by modifying the electric field pattern during the heating process so as to effectively average the electric field intensity in the volume to enhance the uniformity of heating of the volume.

The electrical heating techniques disclosed herein are applicable to various types of hydrocarbon-containing formations, including oil shale, tar sands, coal, heavy oil, partially depleted petroleum reservoirs, etc. The relatively uniform heating which results from the present techniques, even in formations having relatively low electrical conductivity and relatively low thermal conductivity, provides great flexibility in applying recovery techniques. Accordingly, as will be described, the in situ electrical heating of the present invention can be utilized either alone or in conjunction with other in situ recovery techniques to maximize efficiency for given applications.

Further features and advantages of the invention will become more readily apparent from the following detailed description when taken in conjunction with the accompanying drawings.

**BRIEF DESCRIPTION OF THE DRAWINGS**

**FIG. 1** illustrates an in situ twin lead transmission line in earth formations.

**FIG. 2** illustrates an in situ biplate transmission line in earth formations.

**FIG. 3** illustrates an in situ triplate transmission line in earth formations.

**FIG. 4A** is a plan view of an in situ structure in accordance with an embodiment of the invention.

**FIG. 4B** is an end view of the structure of **FIG. 4A** as taken through a section defined by arrows 4b—4b of **FIG. 4A**.

**FIG. 4C** is a side view of the structure of **FIG. 4A** as taken through a section defined by arrows 4c—4c of **FIG. 4A**.

**FIG. 5** illustrates an alternate configuration of the structure of **FIG. 4B** wherein the outer rows of conductors taper toward each other.

**FIG. 6** illustrates implementation of the invention in a situation of a moderately deep resource bed.

**FIG. 7** illustrates implementation of the invention in a situation where a relatively thick resource bed is located relatively deep in the earth's surface.

**FIG. 8** is a graph of the electric field and heating patterns resulting from a standing wave pattern in a triplate-type line configuration.

**FIG. 9** illustrates a smoothly varying exponential heating pattern which results from modifying of the electric field pattern during operation.

**FIG. 10** is a graph of operating frequency versus skin depth for an in situ oil shale heating system.

**FIG. 11** is a graph of operating frequency versus processing time for an in situ oil shale heating system.

**FIG. 12A** illustrates an embodiment of the invention wherein current loop excitation is employed.

**FIG. 12B** is an enlargement of a portion of **FIG. 12A**.

**FIG. 13** is a simplified schematic diagram of a system and facility for recovery of shale oil and related products from an oil shale bed.

**FIG. 14** is a simplified schematic diagram of a system and facility for recovery of useful constituents from a tar sand formation.

**FIG. 15** is a simplified schematic diagram which illustrates how residual heat in "spent" formations can be utilized for pre-heating resources to be subsequently processed.

**FIG. 16** illustrates an embodiment of the invention wherein electric dipole excitation is employed.

**FIG. 17** shows a diagram of a non-resonant processing technique.

**DESCRIPTION OF THE PREFERRED EMBODIMENT**

Before describing the preferred implementations of practical forms of the invention, the principles of the invention can be initially understood with the aid of the simplified diagrams of FIGS. 1, 2 and 3. **FIG. 1** illustrates a twin-lead transmission line defined by a pair of elongated conductors 101 and 102 which are inserted into hydrocarbonaceous earth formations 10, for example an oil shale or coal deposit. A source 110 of radio frequency excitation is coupled to the twin-lead transmission line. The resultant electric field causes heating, the heating being indicated in the figures by the dots. The intensity of the heating is represented by the density of the dots. In **FIG. 1**, the field lines, which are in a general standing wave pattern, extend well outside the region between the transmission line leads and substantial radiation occurs from various points with resultant loss of heating control. (The actual field pattern will depend, inter alia, upon frequency, as will be discussed below, and the illustrations, of FIGS. 1, 2 and 3 are for an appropriately chosen exemplary frequency.) In **FIG. 2**, there is illustrated a biplate transmission line consisting of spaced parallel conductive plates 201 and 202 in the formations. When excited by a source 210 of RF energy, a standing wave field pattern is again established. Radiation is particularly prevalent at the edges and corners of the transmission line plates. Radiation outside the transmission line confined region is less than in **FIG. 1**, but still substantial, as is evident from the heating pattern. **FIG. 3** illustrates a triplate transmission line which includes spaced outer parallel plate conductors 301 and 302 and a central parallel plate conductor 303 therebetween. Excitation by an RF source 310, as between the central plate and the outer plate, establishes a fairly well confined field. The central plate 303 is made shorter than the outer plates 301 and 302, and this...
contributes to minimizing of fringing effects. Standing waves would also normally be present (as in Figs. 1 and 2) but, as will be described further hereinbelow, the periodic heating effects caused by standing wave patterns can be averaged out, such as by varying the effective length of the center plate 303 during different stages of processing. The resultant substantially uniform average heating is illustrated by the dot density in Fig. 3.

It is seen from the Figs. 2 and 3 that alternating electric fields substantially confined within a particular volume of hydrocarbonaceous formations can effect dielectric heating of the bulk material in the volume. The degree of heating at each elemental volume unit in the bulk will be a function of the dielectric lossiness of the material at the particular frequency utilized as well as a function of the field strength. Thus, an approximately uniform field in the confined volume will give rise to approximately uniform heating within the volume, the heating not being particularly dependent upon conduction currents which are minimal (as compared to displacement currents) in the present technique. The conduction currents illustrated in Figs. 1, 2 and 3 are intended for the purpose of aiding in an initial understanding of the invention. The structures of Figs. 2 and 3, while being within the purview of the invention, are not presently considered as preferred practical embodiments since plate conductors of large size could not be readily inserted in the formations. As will become understood, the confining structures of Figs. 2 or 3 can be approximated by rows of conductors which are inserted in boreholes drilled in the formations.

One preferred form of applicant's invented system and method is illustrated in conjunction with Figs. 4A, 4B and 4C. Fig. 4A shows a plan view of a surface of a hydrocarbonaceous deposit having three rows of boreholes with elongated conductors therein. This structure is seen to be analogous to the one in Fig. 3, except that the solid parallel plate conductors are replaced by individual elongated tubular conductors placed in boreholes that are drilled in relatively closely spaced relationship to form outer rows designated as row 1 and row 3, and a central row designated as row 2. The rows are spaced relatively far apart as compared to the spacing of adjacent conductors of a row. Fig. 4B shows one conductor from each row; viz., conductor 415 from row 1, conductor 425 from row 2, and conductor 435 from row 3. Fig. 4C illustrates the conductors of the central row, row 2. In the embodiment shown, the boreholes of the center row are drilled to a depth of L1 meters into the formations where L1 is the approximate depth of the bottom boundary of the hydrocarbonaceous deposit. The boreholes of the outer rows are drilled to a depth of L2, which is greater than L1, and extends down into the barren rock below the useful deposit. After inserting the conductors into the boreholes, the conductors of row 2 are electrically connected together and coupled to one terminal of an RF voltage source 450 (see Fig. 4B). The conductors of the outer rows are also connected together and coupled to the other terminal of the RF voltage source 450. The zone heated by applied RF energy is approximately illustrated by the cross-hatching of Fig. 4A. The conductors provide an effective confining structure for the alternating electric fields established by the RF excitation. As will become understood, heating below L1 is minimized by selecting the frequency of operation such that a cutoff condition substantially prevents propagation of wave energy below L1.

The use of an array of elongated cylindrical conductors to form a field confining structure is advantageous in that installation of these units in boreholes is more economical than, for example, installation of continuous plane sheets on the boundaries of the volume to be heated in situ. Also, enhanced electric fields in the vicinities of the borehole conductors, through which recovery of the hydrocarbonous fluids ultimately occurs, is actually a benefit (even though it represents a degree of heating non-uniformity in a system where even heating is striven for) since the formations near the borehole conductors will be heated first. This tends to create initial permeability, porosity and minor fracturing which facilitates orderly recovery of fluids as the overall bound volume later rises in temperature. To achieve field confinement, the spacing between adjacent conductors of a row should be less than about a quarter wavelength apart and, preferably, less than about an eighth of a wavelength apart.

Very large volumes of hydrocarbonaceous deposits can be heat processed using the described technique, for example volumes of the order of 10^6 cubic meters of oil shale. Large blocks can, if desired, be processed in sequence by extending the lengths of the rows of boreholes and conductors. Alternative field confining structures and modes of excitation are possible and will be described further hereinbelow. At present, however, two alternatives will be mentioned. First, further field confinement can be provided by adding conductors in boreholes at the ends of the rows (as illustrated by the dashed boreholes 490 of Fig. 4A) to form a shielding structure. Secondly, consider a modification of Fig. 5 (analogous to the cross-sectional view of Fig. 4B) wherein the conductors of the outer rows are tapered toward the central rows at their deep ends so as to improve field uniformity (and consequently, heating uniformity) further from the source.

In Figs. 1-5 it was assumed, for ease of illustration, that the hydrocarbonaceous earth formations had a seam at or near the surface of the earth, or that any overburden had been removed. However, it will be understood that the invention is equally applicable to situations where the resource bed is less accessible and, for example, underground mining is required. In Fig. 6 there is shown a situation wherein a moderately deep hydrocarbonaceous bed, such as an oil shale layer of substantial thickness, is located beneath barren rock formations. In such instance, a drift or adit 640 can be mined and boreholes can be drilled from the surface, as represented by the boreholes 601, 602 and 603 of Fig. 6, or from the drift. Again, each of these boreholes represents one of a row of boreholes for a tripod-type configuration as is shown in Fig. 4. After the boreholes have been drilled, tubular conductors 611, 612 and 613 are respectively lowered into the lower borehole portions in the resource bed. The coaxial lines 660 carrying the RF energy from a source 650 to the tubular conductors can now be strung down an upper portion of one or more of the boreholes and then connected across the different rows of tubular conductors at drift 640. In this manner, there is no substantial heating of the upper barren rock as might be the case if the conductors were coupled from the surface of each borehole.

Fig. 7 illustrates a situation wherein a relatively thin hydrocarbonaceous deposit is located well below the earth's surface. In such case, a drift or adit 640 is first
provided, and horizontal boreholes are then drilled for the conductors. The FIG. 7 again illustrates a tri-plate type configuration of three rows of boreholes, with the conductors 701, 702 and 703 being visible in the FIG-URE.

The selection of suitable operating frequencies in the present invention depends upon various factors which will now be described. As radio frequency (RF) electromagnetic wave energy propagates within the hydrocarbon-bearing media of interest, electrical energy is continuously converted to heat energy. The two primary energy conversion mechanisms are ohmic heating, which results from the conductivity of the formations, and dielectric heating, which results from rotation of molecular dipoles by the alternating electric field of the wave energy. At any elemental volume point, \( x \), within the formations of interest, the dielectric permittivity at a frequency \( f \) can be expressed as

\[
e(\omega) = \varepsilon_r(\omega)(1 - \varepsilon_r(\omega))
\]

were \( \varepsilon_r(\omega) \) is the relative real part of the complex dielectric permittivity, \( \varepsilon(\omega) \) is the relative imaginary part of the dielectric permittivity and represents both conductivity and dielectric losses and \( \varepsilon \) is the permittivity of free space. The heating power density, \( U(\omega) \), at point \( x \) can be expressed as

\[
U(\omega) = \frac{\pi \epsilon_0 E^2(\omega)}{4 \lambda} \text{watts/meter}^2
\]

where \( E(x) \) is the electric field intensity at the point \( x \). At radio frequencies (0.3 MHz to 300 MHz), dielectric heating predominates for the types of formations of interest herein, and the shale, tar sand, and coal deposits to be treated can be considered as "lossy dielectrics".

As the electromagnetic wave energy is converted to heat, the electric field wave progressively decays in exponential fashion as a function of distance along the path of wave propagation. For each electrical skin depth, \( \delta \), that the wave traverses, there is a reduction in the wave electric field by about 63%. The skin depth, \( \delta \), is related to the propagation medium's permittivity and the electromagnetic wave frequency by the relationship

\[
\delta = \frac{3 \times 10^6 \epsilon_0}{\pi \sqrt{\epsilon_r} \cdot \epsilon_0} \text{m}
\]

The heating resulting from electromagnetic waves in hydrocarbon-bearing formations diminishes progressively as the wave energy penetrates further into the formations and away from the source thereof. Thus, the use of RF energy does not, per se, yield uniform heating of the formations of interest unless particular constraints are applied in the selection of frequency and field confining structure.

An idealized in situ heating technique would elevate all points within the defined heating zone to the desired processing temperature and leave volumes outside the heating zone at their original temperature. This cannot be achieved in practice, but a useful goal is to obtain substantially uniform final heating of the zone, e.g., temperatures which are within a ±10% range throughout. Since the heating power density, \( U(x) \), is a function of the square of the electric field intensity, \( E \), it is desirable to have \( E \) within the range of about 35 5% of a given level in most of the processing zones. Consider, for example, the triplate line structure of FIG. 4 as being imbedded in an oil shale formation. An electromagnetic wave is excited by the RF power source 450 at the surface of the oil shale seam and propagates down the triplate line into the shale. The wave decays exponentially with distance from the surface because of conversion of electrical energy into heat energy. Upon reaching the end of the center conductor, at a depth of \( L_1 \) meters, it is desired that the wave undergo substantially total reflection. This is achieved by selecting the excitation frequency such that the half wavelength \( \lambda/2 \) along the triplate line is substantially greater than the spacing between the outer rows, thereby giving rise to a cutoff condition.

The result of the wave attenuation and reflection is the generation of a standing wave along the length of the triplate line. At a point, \( x \), on the line, the magnitude of the total standing wave electric field, \( E \), from the end of the center conductor is

\[
E(x) = E(L_1) \left\{ \sinh \left( \frac{L_1 - x}{\delta} \right) + \cos \left( \frac{2\pi L_1 - x}{\lambda} \right) \right\}
\]

where \( \delta \) is the electrical skin depth for a wave traveling along the triplate line, and \( \lambda \) is the wavelength along the triplate line. (\( \lambda \) and \( \lambda \) being assumed constant along the length of the line.)

To illustrate the nature of the standing wave pattern and heating potential resulting from the triplate-type line of structure of FIG. 4, equation (4) can be used to compute the ratios \( E_T(X)/E_T(O) \) and \( U(X)/U(O) = [E_T(X)/E_T(O)]^2 \) for the triplate line. Typical results are shown in the graph of FIG. 8. It is seen that \( E_T \) and \( U \) decay with depth and exhibit an oscillatory behavior near \( L_1 \), with interleaved peaks and nulls separated by a constant distance, \( \lambda/4 \), from each other. The position of the deepest peak coincides with the end of the center conductor at \( L_1 \); the position of the deepest null is at \( L_1 \cdot \lambda/4 \).

An in situ triplate-type of structure having a heating potential distribution as shown in FIG. 8 will more easily meet heating uniformity goals over its length if the oscillatory pattern could be smoothed out. This can be done by modifying the electric field pattern so as to effectively average the electric field intensity in the volume being heated. This may be achieved by physically decreasing the insertion depth of the center conductor by \( \lambda/4 \) units midway through the heating time. Pulling each tube of the center conductor \( \lambda/4 \) units out of its respective borehole, or employing small explosive charges to sever the deepest \( \lambda/4 \) units of each tube are two ways this can be done. Shifting the end of the center conductor in this manner would shift the entire standing wave pattern toward the surface of the oil shale seam by a distance of \( \lambda/4 \) units. Thus, heating peaks would be moved to the positions of former heating nulls, and vice versa. Averaged over the entire heating time, the spatially oscillatory behavior of \( U \) would largely disappear. This can be demonstrated mathematically using equations (2) and (3):

\[
U(x)_{\text{overall}} = U(x)_{\text{before center}} + U(x)_{\text{after center}}
\]

\[
= \frac{\kappa e^{\kappa x}}{\kappa e^{\kappa x} + C}
\]
attenuation distance in order to insure heating uniformity within ± 10% over the length of the line. Stated another way, to meet this heating uniformity requirement the frequency of excitation should be sufficiently low to insure a skin depth of about three times L1.

For an in situ triplate line type of structure (e.g. FIG. 4) with no artificial loading by either lumped capacitances or inductances, the expression for Δ is given by (3) above, and combining (3) and (10) gives:

\[ L_d(\Delta) = \frac{10^3}{\pi^3 N \epsilon''(\Delta)} \cdot \frac{\alpha(f)}{\epsilon''(f)} \text{ meters.} \]  

To determine the variation of L1max with frequency for oil shale, laboratory tests were conducted to obtain the electrical permittivity of dry, Mahogany-type, Colorado oil shale over the frequency range of 1 MHz to 40 MHz. Using the data in conjunction with equations (3) and (11) for Δ and L1max were plotted versus frequency, as shown in FIG. 10. It is seen, for example, that to allow the use of a single triplate-type structure to process in situ a complete top to bottom section of an oil shale bed with a thickness of 100 meters, the maximum operating frequency which meets the stated heating uniformity criterion would be 18 MHz. In a similar manner, FIG. 9 can be used to determine the maximum operating frequency for triplate-type structures used to heat process shale beds ranging in thickness from 10 meters (fmax = 95 MHz) to 2500 meters (fmax = 1 MHz).

Capacitive loading could also be employed to minimize amplitude reduction effects. For example, series capacitors can be inserted at regular intervals along the tubes of the center conductor of the triplate line. These capacitors would act to partially cancel the effective series inductance of the center conductor. Using the expression for Δ of an arbitrary lossy transmission line, it can be shown that

\[ \Delta = \sqrt{\frac{\lambda}{1 - \frac{r^2}{100}}} \]  

for an in situ triplate-type line, where Δ is the nominal 1/e attenuation distance at the operating frequency, and r is the percentage reduction of the center conductor inductance caused by the inserted capacitors. For example, if the effective center conductor inductance were reduced by 75%, Δ would increase by 100% to a value of 2Δ.

Having set forth considerations which are used in determining maximum operating frequency, attention is now turned to the selection of suitable minimum operating frequency.

The rate of resource heating is controlled by U(x,t), the heating power density generated by the electromagnetic field. As seen from relationship (2), there are two types of factors influencing the rate of heating: a frequency-independent amplitude factor, \( E^2(x) \); and a frequency-dependent factor, \( \epsilon''(x,t) \). To achieve rapid heating of the resource body, it would be desirable to generate a large value of E. However, if E is increased...
beyond some maximum value, designated $E_{\text{max}}$, the RF electric field could cause arc-over or breakdown of the rock matrix and carbonized, conducting paths might form between the inner and outer conductors of the in situ confining structure. This could lead to undesirable short circuiting of the system. To avoid this possibility, the average RF electric field within the structure is constrained to be no more than $(S)E_{\text{max}}$ where $S$ is a dimensionless safety factor in the range 0.01–0.1. In this way, reliable operation is insured despite electric field enhancement at the surfaces of the conducting tubes of the FIG. 4 structure and possible local variations of the breakdown level of the resource. A pilot or demonstration scale RF in situ facility could operate with a typical $S$ factor close to 0.1 so that simulated production runs could be completed rapidly. However, a large scale, commercial facility would likely be designed more conservatively, i.e., with a $S$ factor close to 0.01, to assure normal operation of an associated high power RF generator under “worst case” conditions. Using $E_{\text{avg}} = (S)E_{\text{max}}$ in relationship (2) yields

$$U_{\text{avg}}(t) \leq S f_{\text{r,f}}^2 \frac{\rho_b E_{\text{max}}}{W/m^3}$$  \hspace{1cm} (13)

The RF heating power density varies as the square of $S$, so selection of $S$ has an important impact on the processing time and, as will be seen, selection of minimum operating frequency. It is seen from relationships (2) and (13) that increasing the product term, $f_r^2 \rho_b^2 (S)^2$, increases the electromagnetic heating power density regardless of the electric field amplitude. This product term is found to increase monotonically in the frequency range of 1 MHz to 40 MHz for oil shale. Thus, for a given RF electric field, increasing the operating frequency causes the shale heating rate to increase. Considerations of maximum operating frequency, set forth above, must be borne in mind, however.

The minimum processing time at a particular operating frequency, $t_{\text{min}}(f)$, can be derived as a function of the fraction, $R$, of spent shale sensible heat that can be recycled (this aspect to the treated below), the RF electric field breakdown level, $E_{\text{max}}$, of the shale rock, the safety factor, $S$, and the loss component, $\epsilon'_r(f)$, of the shale. First, the total RF heating energy required to process one cubic meter of raw oil shale can be calculated, assuming an oil shale density of 1.6 g/cm$^3$ (1.6 x 10$^3$ kg/m$^3$) and assuming

$$\text{RF heating \ requirements} = \frac{0.65 \cdot R \cdot 1.0}{10^9} \cdot \frac{1.6 \cdot 10^3 \cdot \text{kg}}{m^3} \cdot \frac{1}{7.4 \text{ kg shale}}$$ \hspace{1cm} (14a)

$$= (1.2 - R \cdot 0.65) \cdot 10^9 \text{ J/m}^3.$$

Now, $t_{\text{min}}(f)$ can be found by dividing the RF heating requirement of Equation (14a) by the maximum RF heating power density of Equation (13):

$$t_{\text{min}}(f) = \frac{(1.2 - R \cdot 0.65) \cdot 10^9 \text{ J/m}^3}{S^2 \cdot f_r \rho_b \frac{\rho_b E_{\text{max}}}{W/m^3}} \cdot \frac{1}{\text{sec}} \hspace{1cm} (14b)$$

FIG. 11 uses Equation (14b) to plot versus frequency the minimum processing time (with $S = 0.01$ and $S = 0.1$) for RF heating of dry, Mahogany-type Colorado oil shale. It is assumed that $E_{\text{max}} = 10^6$ V/m and is independent of the operating frequency, and that $R = 0.5$. From FIG. 11, it is seen that, for $S = 0.1$, $t_{\text{min}}$ ranges from 0.6 hours at 40 MHz to 36 hours at 1 MHz, and to an extrapolated time of about 300 hours at 0.1 MHz. For $S = 0.01$, $t_{\text{min}}$ ranges from 60 hours at 40 MHz to 3600 hours (3 months) at 1 MHz.

During the processing cycle of a block of shale using the present technique, heat conduction to adjacent shale regions can tend to degrade the desired heating uniformity of causing cooling of the boundary planes of the shale block being processed. Further, such thermal conduction results in heat energy flowing outside the block of interest, complicating the problem of controlling the extent and efficiency of the heating process. Such an outflow of heat further increases the necessary heating time. Actual determination of heat flow effects is a complex function of the size and shape of the shale blocks being heated; however, an illustration of such effects on the graphs of FIG. 11 is depicted by the dotted line curves for a hypothetical block of shale.

In order to limit these undesired consequences of resource heat conduction, it is desirable to complete the processing cycle of the block being treated before appreciable heat energy can flow out of the block. Based on these considerations, applicants have selected a maximum electrical processing time of about two weeks, with preferred processing times being less than this time. From FIG. 11, this condition would mean that the operation frequency could be no lower than 0.1 MHz for the $S = 0.1$ case, and could be no lower than 10 MHz for the $S = 0.01$ case. An intermediate value of $S$ would accordingly yield an intermediate “order of magnitude” frequency of 1 MHz. The frequency lower bound (based on considerations of heat conduction away from the electrically heated zone and conservative design relative to shale breakdown) can be combined with the frequency upper bound obtainable from FIG. 10 (based on considerations of heating uniformity within the zone and shale skin depth) to define the preferred frequency range. For blocks of commercially practical size, a maximum frequency of about 40 MHz is preferred, so the preferred frequency range is about 1 MHz to 40 MHz. It should be noted that other confining structures within the purview of the invention, such as waveguides and cavities, will have optimum operating frequency ranges because of differences in the electromagnetic field patterns and heat conduction times peculiar to a given geometry.

It will be understood that there are other possible techniques for exciting the alternating electric field patterns to obtain dielectric heating of the formations bound by the confining conductor structures of the invention: i.e., alternatives to the previously described technique of applying voltages across different groups of the conductors. In FIG. 12 there is again shown a triplate-type of configuration having rows of conductors designated as row 1, row 2 and row 3, the conductors again being inserted in boreholes drilled into hydrocarbonsaceous formations such as an oil shale bed. In the embodiment of FIG. 12, the desired field pattern in the confined volume of formations is established using a current loop excitation.

The conductors of the central row have loop excitors 121 and 122 formed integrally therewith, the loop exciters 121 providing magnetic field excitation to the left of the central row conductors and the loop exciters 122 providing magnetic field excitation to the right of the central row conductors. The established alternating electric field pattern, concomitant with the varying
magnetic field, provides substantially uniform dielectric heating in the manner previously described. The conductor of the central row has an outer tubular metal shell 123 and an inner conductor 124, shown in dashed line in FIG. 4A. Slots 125 and 126 are formed in the outer tube and the loops 121 and 122 extend from the inner conductor, through the slots, and then reconnect with the outer conductor as shown by the dashed line. The lower portion 120 of the central row conductor extends from the bottom of the loop.

In operation, an RF current source 127 is coupled between the outer tubular conductor 123 and the inner conductor 124 and drives current through the loop 121 and 122, thereby establishing alternating magnetic fields and concomitant electric fields which are confined in the volume bound by the rows of conductors in row 1 and row 3. A quarter wave stub 128 is provided at about the top of the hydrocarbonaceous deposit and, in effect, creates an open circuit which isolates the conductor passing through the overburden from the lower portion thereof. This technique prevents energy from propagating back to the source and overheating it. It will be recognized that the heating can be advantageously performed to different degrees in order to implement useful extraction of the organic resources from the formations. These techniques will also vary with the type of resource form which the fuel is being recovered.

In the case of oil shale, three versions of extraction techniques utilizing the invention are set forth, although it will become clear that variations or combinations of these techniques could be readily employed by those skilled in the art.

It will be understood that various alternate techniques for excitation of the electric fields can be implemented to obtain dielectric heating as described herein.

For example, electric dipole excitation could be employed to generate the electric fields in the confined volume, so long as the previously described frequency limitations are met for establishing relatively uniform dielectric heating. FIG. 16 illustrates an arrangement wherein electric dipole excitation is used. Center conductor 166 is coupled to electrodes 166A and 166B which protrude from slots in outer conductor 163, and a voltage source 167 is coupled between the inner and outer conductors.

In the configuration of FIG. 12, wherein a current loop drive is utilized, it is advantageous to use a source position which results in an odd number of quarter wavelengths from the position of the current loop to each end of the central conductor, since the source is at a voltage minimum and it is desirable to have voltage maxima at the open circuited terminations to achieve a resonance condition. Similarly, in FIG. 16 the dipole source is preferably located an even number of quarter wavelengths from the ends of the central conductor.

Referring to FIG. 13, there is shown a simplified schematic diagram of a system and facility for recovery of shale oil and related products from an oil shale bed. A tri-plate-type configuration of the nature previously described is used in this system. Three rows of boreholes, designated as row 1, row 2 and row 3, are drilled through the overburden and into the oil shale bed, the central row of boreholes preferably being of a lesser depth than the outer rows. A drift 131 is mined into the overburden above the oil shale formation so that electrical connections can be made in the manner described in conjunction with FIG. 6. Tubular conductors are inserted into the lower portions of the boreholes of each row. An RF source 132 is provided and obtains its power from a suitable power plant which may or may not be located at the site. For ease of illustration, the electrical connections are not shown in FIG. 13, but they may be the same as those of FIG. 6. A network of pipes for injection of suitable media are provided, the horizontal feed pipes 133, 134 and 135 being coupled to the boreholes of row 1, row 2 and row 3, respectively, and suitable valves and cross-couplings also being provided. The art of injecting suitable media and recovering subsurface fluids is well developed and not, taken alone, the subject of this invention, so the description thereof is limited to that necessary for an understanding of the present system and techniques. Recovered fluids are coupled to a main discharge pipe 136 and then to suitable processing plant equipment which is also well known in the art. Again, these well known techniques will not be described in full detail herein, but a conduit 137 represents the process of separation of shale oil vapor and high and low BTU gas, whereas the conduit 138 represents the processing of shale oil vapor, in well known manner, to obtain synthetic crude. The overall processing system of FIG. 13 will vary somewhat in its structure and use, depending upon which of the to-be-described versions of the present technique are utilized to recover valuable constituents from the oil shale bed.

In the case of oil shale, three versions of extraction techniques utilizing the invention are set forth, although it will become clear that variations or combinations of these techniques could be readily employed by those skilled in the art. The first version aims only for recovery of shale oil and by-product gases that correspond to the recovery aims of previously proposed in situ oil shale processing techniques. Electrical radio frequency energy is applied, for example using the system of FIG. 13, to heat a relatively large block of oil shale in situ to above 500 degrees C. As the temperature passes the point where inherent shale moisture flashes into steam, some fracturing, at least along bedding planes, will typically be experienced. Additional interconnecting voids will also form within unfractured pieces of oil shale during pyrolysis in the 400 to 500 degrees C. range. While substantially uniform heating is striven for, heating is not exactly uniform and the oil shale nearest the electrodes will be heated slightly more rapidly than the shale further away. As a result, permeability is progressively established outward from the electrodes, permitting passage of shale oil vapors up the hollow electrode tubes for collection. In the same way, the considerable quantity of hydrocarbon gases liberated at shale temperatures between about 200 degrees C. to 500 degrees C. will pass to the surface via the tubes. At the surface of the earth, the shale oil vapors and bi-product gases are collected and processed using known techniques, as depicted broadly in FIG. 13. In this first version there is not necessarily any attempt to utilize the carbonaceous residue left in the spent shale formations.

Another in situ processing version which utilizes the electrical radio frequency heating techniques of the invention would aim to increase the yield of useful products from the oil shale resource and to reduce process energy consumption by making full use of the unique attributes of the disclosed in situ heating technique. Since heating to relatively precise temperatures is possible with the invented technique, this second version would apply heating to about 425 degrees C. to recover cracked kerogen in liquid form. In this manner, the substantial electric energy needed to apply the addi-
tional heat to volatilize the shale oil product would be
saved.
In either version of the process, a relatively high
degree of porosity and permeability will be present after
removal of the liquid kerogens. Thus, if desirable, sub-
sequent recovery of the carbonaceous residue on the
spent shale could be achieved by injection of steam and
either air or oxygen to initiate a “water-gas” reaction.
Upon injection, the steam and oxygen react with the
carbonaceous residue to form a low BTU gas which is
recovered and can be used, for example, for the hydro-
genation of the raw shale oil, or for on-site generation of
electric power. The water-gas reaction would also re-
sult in a higher spent shale temperature, for example
600° C., than in the case of the first processing version.
This would be advantageous when techniques, such as
those described below in conjunction with FIGS. 15,
16, are employed for using residual heat for preheating
the raw shale in other blocks in the shale bed. An over-
all saving of electrical energy would thereby be
achieved. The creation of shale permeability and weta-


ability after removal of the liquid kerogen would also
permit extraction, in situ, of various coproducts such as
aluminum hydroxide, naphthalene, uranium or related
minerals present in the shale by leaching methods.
In a third processing version, the electrical heating
techniques of the invention are employed only to rela-

tively lower temperatures, below about 200° C. to ob-
tain fast fracturing of the shale by vaporization of mois-
ture content, whereupon combustion or thermal in situ
extraction techniques can be used to obtain the useful
products.
It will be understood that various “hybrid” extraction
approaches, which include the electrical heating tech-


niques of this invention, can be employed, depending
upon the type of oil shale formations in a particular
region, availability of electrical energy, and other fac-
tors relating to costs. For example, the disclosed elec-

trical radio frequency heating techniques could be em-
ployed in either the middle range temperatures or to
“top off” temperature distributions obtained by other
heating methods.
Applicants have observed that raw unheated tar sand,
heavy oil matrices, and partially depleted petroleum
deposits exhibit dielectric absorption characteristics at
radio frequencies which render possible the use of
the present techniques for heating of such deposits (tar
sands being generally referred to hereafter, for conve-
nience) so that bitumen can be recovered therefrom.
Again, the relatively low electrical conductivity and
relatively low thermal conductivity of the tar sands is
not an impediment (as in prior art techniques) since
dielectric heating is employed. The selection of a suit-
able range of frequencies in the radio frequency band is
based on considerations that are similar to those set
forth above. If the selected frequencies of operation are
too high, the penetration of energy into the deposit is
too shallow (i.e., a small skin depth, as discussed above)
and relatively large volumes of in situ material cannot
be advantageously processed due to large non-uniformi-


ties of heating. On the other hand, if the frequency of
operation is selected below a certain range, the absorp-
tion of energy per unit volume will be relatively low
(since dielectric absorption is roughly proportional to
frequency over the range of interest), so the amplitude of
the electrical excitation must be made relatively large
in order to obtain the necessary heating to prevent pro-
cessing times from becoming inordinately long. How-
ever, practical considerations limit the degree to which
the applied excitation can be intensified without the risk
of electrical breakdown. Thus, once a maximum excita-
tion amplitude is selected, the minimum frequency is a
function of desired processing time. Applicants have
discovered that the dielectric absorption characteristics of
tar sands are generally in a range similar to that de-
scribed above in conjunction with oil shale, but some-
what lower frequencies within the radio frequency
range are anticipated. However, it will be understood
that variations in the optimum frequencies will occur
for different types of mineral deposits, different confin-
ing structures, and different heating time objectives.
In FIG. 14 there is shown a simplified schematic


diagram of a system and facility for recovery and pro-
cessing of bitumen from a subterranean tar sand forma-
tion. A triplate-type configuration is again utilized with
three rows of boreholes, designated as row 1, row 2 and
row 3, being drilled or driven through the overburden
and into the tar sand formation, as in FIG. 13. A drift
141 is mined in the overburden above the tar sand for-


mation so that electrical connections can be made in
the manner described in conjunction with FIG. 6. Again,
tubular conductors are inserted into the lower portions
of the boreholes of each row. An RF source 142 is
provided and, as before, for ease of illustration, the
electrical connections are not shown in FIG. 14, al-
though they may be the same as those of FIG. 6. As in
FIG. 13, a network of pipes for injection of suitable
drive media is provided, the horizontal feedpipes 143
and 145 being coupled to the boreholes of row 1 and
row 3, respectively, in this instance. Pipe 146 is the
main collection pipe and suitable valves and cross-couplings
are also provided. In the present instance, after suitable
heating of the resource, steam or hot chemical solutions
can typically be injected into at least some of the bore-
holes and the hot mobile tars are forced to the surface
for collection via collection pipes 144 and 146 and
collection tank 147. Subsequent processing of the recov-
ered tars is a well developed art and will not be de-
scribed herein. In the illustration of FIG. 14, the bore-
holes of rows 1 and 3 are utilized as “injection wells”
and the boreholes of row 2 are used as “production wells”;
although it will be understood that various alternate
techniques can be used for bringing the heated tars to
the surface.
As in the case of oil shale, it will be recognized that
electrical heating can be advantageously performed to
different degrees in order to implement useful extrac-
tion of the organic resources from the tar sand forma-
tions.
In a first version of the tar sand or heavy oil recovery
technique, electrical heating is applied to reduce the
viscosity of the in-place tar or heavy oils to a point
where other known complementary processes can be
employed to recover the in-place fuels. In such case,
radio frequency electrical energy can be applied to
relatively uniformly heat a block of tar sands to a tem-
perature of about 150° C. This, in effect, produces a
volume of low viscosity fluids in the tar sand matrix
which is effectively sealed around its periphery by the
lower temperature (impermeable or less permeable)
cooler tar sands. Simple gravity flow into producer
holes or a pressurized drive, consistent with FIG. 14,
can be used to force the low viscosity fluids to the sur-
face using injection of hot fluids.
In a second version of the technique, useful fuels are
recovered from tar sand and heavy oil deposits by par-
tially or completely pyrolyzing the tars in situ. Electrical radio frequency energy is applied in accordance with the principles of the invention to heat a relatively large block of tar sand in situ to about 500° C. As the temperature of the tar sand increases above about 100° C., the inherent moisture begins to change into steam. A further increase in temperature to around 150° C. substantially reduces the viscosity of in-place tars or heavy oils. As the pyrolysis temperature is approached, the higher volatiles are emitted until complete pyrolysis of the in-place fuels is accomplished. The tar sands nearest the electrodes will be heated slightly more rapidly than the tar sands farther away, so regions of relatively low viscosity and high permeability will be progressively established outward from the electrodes. This permits passage of the high volatiles and pyrolytic product vapors up the boreholes for collection with or without a drive. A variation of this second version would subsequently employ a water gas process, as described above, to produce a low BTU gas from the remaining pyrolytic carbon. Also, simple combustion of carbon residues can be utilized in order to recover residual energy in the form of sensible heat. It will be understood that various combinations or sequences of the described steps can be performed, as desired.

Referring to FIG. 15, there is shown a schematic diagram which illustrates how residual heat in the "spent" formations from which constituents have already been extracted can be utilized for pre-heating of the next block of the resource to be processed. After the boreholes are formed in the new zone to be heat processed, a system of pipes can be utilized to carry steam-water mixtures which effectively transfers residual heat from the just-processed zone to the next zone to be processed. In FIG. 15, the relatively cool raw resource bed to be processed is illustrated by the block 151, and the spent hot resource is represented by the block 152. The water pumped into the block 152 via pump 153 and feed pipe 157 becomes very hot steam which is circulated through the pipes 159 to the block 151. The system is "closed loop" so that after heat from the steam is expended in the block 151, it is returned as cooler steam or condensate to the block 152 via return pipe 158. It will be understood that the sequentially processed zones may be adjacent zones to take advantage of a thermal gradient while a volume being processed. In particular, heat which flows outside the volume being processed, which might normally be wasted, can be utilized in preheating zones to be subsequently processed. Thus, for example, rows defining zones in the formations being processed can alternate with and "sandwich" zones to be subsequently processed so that heat which flows out of the zones presently being processed can be, to a substantial extent, utilized later. This technique, along with the use of residual heat in the "spent" formations, as described in conjunction with FIG. 15, can substantially reduce the amount of total input energy needed for heat processing.

The present invention allows maximum extraction of desired organic products while keeping pollution and waste accumulation to a minimum and still being economically advantageous. Very little mining, if any, is required and the pollution and waste aspects of above ground retorting are, of course, absent. The invented technique compares most favorably with those in situ techniques that require combustion, since those techniques necessarily produce hot flue gases that must be cleaned of particulates, sulfur, etc. before release into the environment. A further advantage is a result of the relatively close control over the heating zone which is a feature of the present invention and greatly reduces the possibility of uncontrolled in situ combustion which can have adverse safety and/or environmental effects.

The invention has been described with reference to particular embodiments, which variations within the spirit and scope of the invention will occur to those skilled in the art. For example, the term "boreholes" as used herein is intended generically to include any type of hole or slot in the formation formed by any suitable means such as mechanical or water-jet drilling, pile driving, etc., as well as forms of mining or excavation. Also, the field confining conductors of the present invention can be of any desired form, including meshes, straps, or flexible foils, and will depend, to some degree, upon the location and exposure of the particular surface of the volume they confine. Further, it will be understood that in addition to the resonant TEM type of lines described herein, the confining structure can also take the form of single-mode TE or TM in situ waveguides or multi-mode enclosed cavities. In both instances, standing-wave correction, as previously described, can be employed to substantially average over time the electric field (and resultant heating) throughout the confined volume, both electrical and mechanical techniques being available as disclosed hereinabove. The excitation frequency can also be varied during operation. In the case of a cavity, appropriate drifts or adits can be mined to obtain access to drilling locations (e.g. as illustrated in FIG. 7) so that conductors can be positioned to define surfaces that completely confine a volume to be heated. The resultant "in situ cavity" would be somewhat similar in operation to a microwave oven (but with radio frequency energy being utilized). Mode mixing can be achieved, for example, by utilizing a multiplicity of electric and/or magnetic dipoles at different locations on the walls or within the cavity and sequentially exciting them to obtain different modes to achieve substantially uniform heating of the confined volume. Alternatively, conductors can be inserted and withdrawn from a series of boreholes, as previously described. The cavity approach is advantageous due to the absence of geometrical constraints pertaining to achieving cutoff of a substantially radiating wave energy mode. This means that larger blocks of the resource can be processed at once.

Further, it will be understood that non-resonant confining structures can be utilized, if desired. For example, FIG. 17 is a simplified diagram illustrating how a non-resonant confining structure can be utilized in conjunction with a "sandwich" type of processing technique that utilizes thermal flow from spent regions. Three "loops" designated as loop 170A, 170B, and 170C, are illustrated, each loop including, for example, a pair of tri-plate lines of the type illustrated in FIG. 4. However, in this instance the central row of each tri-plate line is not intentionally truncated. Instead, connecting lines designated by reference numerals 171A, 171B and 171C are employed, this being done by inserting appropriate horizontal conductors from a mined tunnel. Switches 181-187 are provided and are initially positioned as shown in FIG. 17. In operation, the loops are first connected in series and the switches 181-187 are turned on the RF source 179. Wave energy is introduced into the first tri-plate line of loop 170A and travels around the loop and is then connected via switch 183 to loop 170B, and so on. Dielectric heating of the hydrocarbonsaceous
formations is achieved, with the electric field being progressively attenuated. Accordingly, the loop 170A is heated more than the loop 170B which is heated more than the loop 170C, etc. When the hydrocarbonaceous deposit of loop 170A has been heated to a desired degree, switches 181 and 183 are switched so that loop 170A is no longer energized and loop 170B is now heated to the greatest extent. This procedure is continued until the alternate layers of hydrocarbonaceous formations are fully heated to the extent desired. After a suitable period of time, typically weeks or months, for the heat from the spent regions to transfer into the between-loop formations, the between-loop formations can be processed in similar manner.

As previously noted, the invention is applicable to various types of hydrocarbonaceous deposits, and variations in technique, consistent with the principles of the invention, will be employed depending upon the type of resource being exploited. For example, in the case of coal, the electrical properties of the material indicates that the lower portion of the radio frequency spectrum, for example of the order of 100 KHz, will be useful. Further, it will be understood that as heat processing of a particular resource progresses, the properties of the resource can change and may render advantageous the modification of operation frequency for different processing stages.

Applicants have observed that the raw materials under consideration tend to exhibit different dielectric properties at different temperatures. As a consequence, it may be desirable to modify electrical parameters to match the characteristics of the AC power source to the characteristics of the field exciting structure whose properties are influenced by the different dielectric properties of the raw materials. A variable matching network, such as is represented by block 451 (in dashed line) of FIG. 4A, can be used towards this end.

We claim:
1. A method for in situ heating of hydrocarbonaceous earth formations, comprising the steps of:
inserting elongated electrical conductors in boreholes
bounding a first volume of said formations;
introducing electrical excitation to said formations to establish alternating electric fields in said first volume, the frequency of said excitation being selected as a function of the first volume dimensions so as to establish substantially non-radiating electric fields which are substantially confined in said first volume so that volumetric dielectric heating of the formations will occur to effect approximately uniform heating of said volume;
withdrawing valuable constituents from said first volume;
inserting elongated electrical conductors which border a second volume of said formations;
transferring residual heat from said first volume to said second volume;
introducing electrical excitation to said formations to establish alternating electric fields in said second volume, the frequency of said excitation being selected as a function of the second volume dimensions so as to establish substantially non-radiating electric fields which are substantially confined in said second volume, whereby volumetric dielectric heating of the formations will occur to effect approximately uniform heating of said second volume; and
withdrawing valuable constituents from said second volume.
2. A method as defined by claim 1 wherein said formations include an oil shale bed and wherein the frequency of said excitation is in the range between about 1 MHz and 40 MHz.
3. A method as defined by claim 2 wherein said boreholes are formed in opposing spaced rows in said formations.
4. A method as defined by claim 2 wherein the step of introducing electrical excitation comprises applying a voltage as between different groups of said conductors.
5. A method as defined by claim 2 wherein the step of introducing electrical excitation comprises applying electrical current to at least one current loop in said volume.
6. A method as defined by claim 2 wherein the frequency of said excitation is selected as a function of the electrical lossiness of the formations in the volume being heated to be sufficiently low such that the 1/e attenuation distance of the electric field in any direction in said volume is more than twice the physical dimension of said volume in that direction.
7. A method as defined by claim 2 wherein said second volume is adjacent said first volume.
8. A method as defined by claim 1 wherein the frequency of said excitation is in the radio frequency range.
9. A method for in situ extraction of valuable constituents from an oil shale bed, comprising the steps of:
drilling a plurality of boreholes which bound a particular volume of said shale;
inserting electrical conductors into said boreholes;
10. A method as defined by claim 9 wherein the frequency of said excitation is in the radio frequency range.
11. A method as defined by claim 10 wherein the frequency of said excitation is in the range between about 1 MHz and 40 MHz.
12. A method as defined by claim 11 wherein said boreholes are formed in opposing spaced rows in said formations.
13. A method as defined by claim 12 wherein said rows comprise three spaced rows.
14. A method as defined by claim 11 wherein the step of introducing electrical excitation comprises applying a voltage as between different groups of said conductors.
15. A method as defined by claim 11 wherein the step of introducing electrical excitation comprises applying electrical current to at least one current loop in said volume.
16. A method as defined by claim 9 wherein the frequency of said excitation is selected as a function of the electrical lossiness of the formations in said volume to be sufficiently low such that the 1/e attenuation distance of the electric field in any direction in said volume is more than twice the physical dimension of said volume in that direction.
17. A method as defined by claim 9 further comprising the step of modifying the electric field pattern so as to average the electric field intensity in said volume to enhance the uniformity of heating of said volume.
18. The method as defined by claim 9 wherein said electrical conductors comprise metal tubes, and wherein said products are recovered through said tubes.
19. A method for in situ extraction of valuable constituents from an oil shale bed, comprising the steps of:
drilling a plurality of boreholes which bound a particular volume of said shale; inserting electrical conductors into said boreholes; introducing electrical excitation to said shale bed to establish alternating electric fields in said volume, the frequency of said excitation being selected as a function of the volume dimensions so as to establish substantially non-radiating electric fields which are substantially confined in said volume so that volumetric dielectric heating of said shale bed will occur to effect approximately uniform heating of said volume; continuing said electrical excitation to effect heating of said volume to a temperature of about 425° C.; and recovering volatilized products from said volume.

20. A method as defined by claim 19 comprising the further step of injecting a fluid into said borehole to recover carbonized residues from said volume.

21. A method as defined by claim 19 wherein said electrical conductors comprise metal tubes, and wherein said pyrolized products are recovered through said tubes.

22. A method as defined by claim 19 wherein the frequency of said excitation is in the range between about 1 MHz and 40 MHz.

23. A method as defined by claim 19 wherein said boreholes are formed in opposing spaced rows in said formations.

24. A method as defined by claim 23 wherein said rows comprise three spaced rows.

25. A method as defined by claim 19 wherein the step of introducing electrical excitation comprises applying a voltage as between different groups of said conductors.

26. A method as defined by claim 19 wherein the step of introducing electrical excitation comprises applying electrical current to at least one current loop in said volume.

27. A method as defined by claim 19 wherein the frequency of said excitation is selected as a function of the electrical lossiness of the formations in said volume to be sufficiently low such that the 1/e attenuation distance of the electric field in any direction in said volume is more than twice the physical dimension of said volume in that direction.

28. A method as defined by claim 19 further comprising the step of modifying the electric field pattern so as to average the electric field intensity in said volume to enhance the uniformity of heating of said volume.

29. A method for in situ extraction of valuable constituents from a tar sand deposit, comprising the steps of: drilling a plurality of boreholes which bound a particular volume of said shale; inserting electrical conductors into said boreholes; introducing electrical excitation to said shale bed to establish alternating electric fields in said volume, the frequency of said excitation being selected as a function of the volume dimensions so as to establish substantially non-radiating electric fields which are substantially confined in said volume so that volumetric dielectric heating of said shale bed will occur to effect approximately uniform heating of said volume; continuing said electrical excitation to effect heating of said volume to a temperature sufficient to cause the volatilization of moisture therein and resulting porosity and permeability in said volume; and withdrawing valuable constituents from said volume.
It is certified that error appears in the above--identified patent and that said Letters Patent are hereby corrected as shown below:

Column 1, line 26 change "by" to --but--

Column 4, line 12, change "applicant's" to --applicants'--

Column 6, line 6 change "live" to --line--

Column 9, line 20 change equation (1) to:

$$-\varepsilon(x,f) = \left[ \varepsilon'(x,f) - j\varepsilon''(x,f) \right] \varepsilon_0$$

Column 9, line 21 change "were" to --where--

Column 9, line 24 change "\varepsilon" to --\varepsilon_0--

Column 9, line 28 change equation (2) to

$$-U(x,f) = \pi f \varepsilon''(x,f) \varepsilon_0 E^2(x) \ \text{watts/meter}^3$$

Column 9, line 66 change "35 5%" to --55%--

Column 10, line 18 change "e_{T-X}" to --E_T(x)--
It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Column 11, line 28 change "e" to \(-\frac{1}{e}\)

Column 11, line 50 inequalities (7) should be:
\[-\frac{\lambda}{4} \ll \Delta \frac{\lambda}{4} \ll L_1.\]

Column 13, line 28 change "f_4(x,f)" to \(-f_{\pi}^* (x,f)\),

Column 14, line 8 change "of" (first occurrence) to --by--

Column 22, line 33 add the following to claim 9:

--introducing electrical excitation to said shale bed to establish alternating electric fields in said volume, the frequency of said excitation being selected as a function of the volume dimensions so as to establish substantially non-radiating electric fields which are substantially confined in said volume so that volumetric dielectric heating of said shale bed will occur to effect approximately uniform heating of said volume;

continuing said electrical excitation to effect heating of said volume to a temperature of about 500°C; and
UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 4,140,180
DATED : February 20, 1979
INVENTOR(S) : Jack Bridges, et al

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

recovering the pyrolized products from said volume.--

Column 23, line 31 correct the spelling of "method"

Signed and Sealed this
Twenty-sixth Day of February 1980

[SEAL]

Attest:

SIDNEY A. DIAMOND
Attesting Officer  Commissioner of Patents and Trademarks